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U.S. ARMY CENTER OF MILITARY HISTORY

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INTERVIEW

OF

(b)(3), (b)(6)

C-3

FUTURE OPERATIONS CELL

MULTI-NATIONAL CORPS-IRAQ

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FEBRUARY 8, 2006

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BAGHDAD, IRAQ

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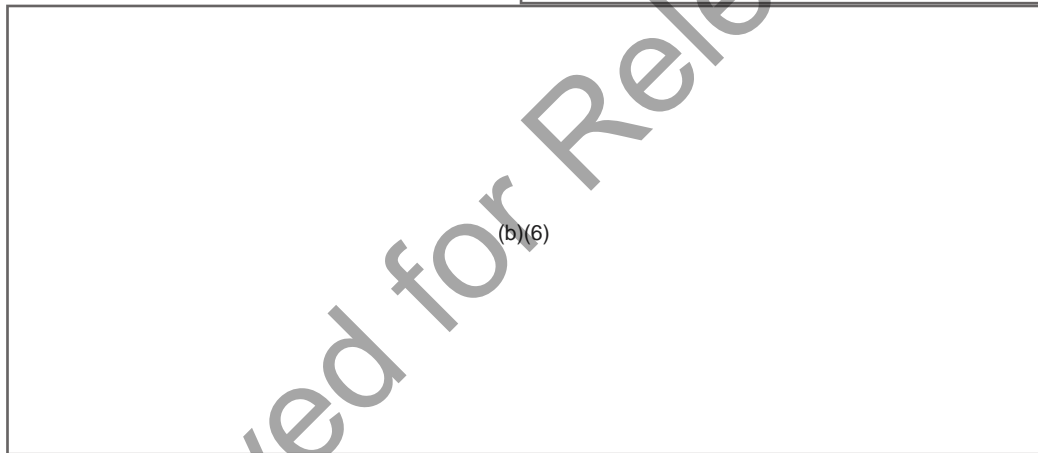
P R O C E E D I N G S

(b)(6): This is (b)(6) the
Writing Historian for 3 Corps and the U.S. Army Center
of Military History. Today is 8 February 2008, and we
are at al Faw Palace outside of Baghdad, Iraq.

(b)(3), (b)(6) would you please introduce
yourself, and tell me what your positions are?

(b)(3), (b)(6):

(b)(6)



(b)(6): Right. And when did you take
over the Chief of Operations?

(b)(3), (b)(6) During this period, it was
9 January. Then I had served brief periods before
during (b)(3), (b)(6) leave period and also during the 18th
Airborne Corps MRX.

(b)(6): Right. Now let me talk a
little bit about your duties as the Chief of

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1 Operations.

2 Okay. I will pause.

3 (Short break.)

4 (b)(6): This is a pick-up after we had
5 to break, and I am going to repeat the question.
6 Could you tell us a little bit about your duties as
7 the Chief of Operations?

8 (b)(3), (b)(6): The Chief of Operations
9 normally runs the day to day battle, and that is
10 reacting to situations on the battlefield, receiving
11 reports, obtaining information, keeping the command
12 group informed of the actual real time, day to day
13 activities, and then if something unexpected occurs,
14 then we take appropriate action by energizing the
15 appropriate unit to resolve a particular problem,
16 whether it is a prison uprising or whether there is an
17 IED or a downed aircraft that occurs.

18 (b)(6): So let me ask you: If a
19 situation report comes in, or a SITREP, from a unit
20 that is a CCIR, which is a critical information
21 requirement, commander's critical requirement--

22 (b)(3), (b)(6): That's correct.

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1 (b)(6) : -- do you immediately notify
2 General Odierno, or how do you go through that
3 routine?

4 (b)(3), (b)(6) : Our reporting process, if
5 there is a CCIR that comes in, the first thing we do
6 is we validate that information as quickly and as best
7 we can, given the time available, and then what we
8 normally do is produce what we call a story board
9 which lays out graphically where the incident
10 occurred.

11 It lays out the facts associated with the
12 incident, and then it describes what actions the unit
13 has taken to resolve it, and then any BDA, battle
14 damage assessment, that is associated with that,
15 casualties, coalition force, Iraqi Army, Iraqi Police
16 or civilians, will be indicated on those story boards.
17 But it paints a picture, and it enables somebody to
18 very quickly grasp what has happened, where it has
19 happened, why it has happened, what actions are being
20 taken, and then what needs to happen next.

21 (b)(6) : And how does the Commander
22 gets notified of that?

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1 (b)(3), (b)(6) : Normally, he is notified
2 through the passage of that story board via e-mail,
3 and sometimes we -- Well, actually, in almost all
4 cases we will follow up with a telephonic notification
5 of his staff that there is a CCIR that has occurred.

6 (b)(6) : And do you also notify the (b)(3), (b)(6)
7 3, (b)(3), (b)(6)

8 (b)(3), (b)(6) : We do. Yes, there is a
9 standard distribution list for e-mail that goes out
10 throughout the command notifying really key staff
11 members. For example, a lot of times when an incident
12 occurs, there is public affairs impacts. So we want
13 to make sure the PAO is aware.

14 We also want to make sure our information
15 operations officer is aware, so that they can begin to
16 prepare any statements or plans that we need to
17 publish in case there is some sort of either
18 misrepresentation of the incident or that we need to
19 capitalize on this.

20 For example, this morning's news event of
21 the video that were captured that showed children
22 being trained as terrorists -- this is information

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1 that we need to get out to the public to let people
2 know that AQI does not have good interests in mind,
3 and we need to let the rest of the world know that.

4 (b)(6): Do you -- I know the Chief of
5 Operations works for the C-3, (b)(3), (b)(6) Do you
6 ever have to go through him or do you notify the
7 Commander simultaneously with the C-3?

8 (b)(3), (b)(6): We normally notify
9 simultaneously. However, if there are -- Let's say,
10 for example, that I get information that there is a
11 special operation event or we need to target somebody
12 that may be a key leader within AQI or some other
13 extremist group.

14 I will make notification to the command
15 group, but I will personally come down and brief
16 (b)(3), (b)(6) and often that results in me going up
17 and briefing the CG after I have done that. But if
18 there is some of that that will require a personal
19 visit to the CG other than just a notification of a
20 CCIR that occurred on the battlefield, some of those
21 -- then before I personally go to see the CG, I will
22 always go to the C-3 first.

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1 (b)(6) : How often does that occur, do
2 you think, your estimate?

3 (b)(3), (b)(6) : I would say -- Well, I
4 guess early on it was about once a day, sometimes
5 twice a day. Toward the end of our stay here, it was
6 less, but where it really occurs is when you have a
7 downed aircraft or major event like that or if you
8 have a major bombing of a market area, for example,
9 where there is a significant number of casualties, we
10 want to be able to keep him up to date, particularly
11 because those things reach the press very quickly.

12 So we have to ensure that we push
13 information to the Commander very quickly so that he
14 has situational awareness and that he can respond, if
15 necessary, to any of the reports that come out, and
16 certainly at least represent the military's
17 perspective on what has happened so that the viewing
18 audience can see what the reporters are saying and as
19 well as how the military is interpreting that.

20 Other items may be if we are targeting
21 specific individuals, which early on we did do that,
22 and particularly in the evenings. There were times

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1 when I would pay two or three visits to the boss to
2 get approval to target certain high value individuals,
3 what we call HVIs.

4 (b)(6): Right. I'm interested in the
5 story board construct because, of course, I have seen
6 those. I think you indicated that your staff, the
7 Chief of Operations staff, makes those up. Is that
8 correct?

9 (b)(3), (b)(6): That's correct. We have
10 two folks on our Operations sections, our battle
11 majors, that their whole purpose is to evaluate
12 reports that come in, determine whether, in fact, they
13 do meet the CCIR criteria, and then if they meet that
14 CCIR criteria, that is what generates a story board.

15 (b)(6): So what role does the unit
16 that reports the incident, the CCIR, have in that?

17 (b)(3), (b)(6): Well, they have the same
18 CCIR. So they know what we are looking for. So they
19 will make an evaluation when something happens.

20 Normally -- I mean, they get it right most
21 of the time. However, there are times when there may
22 be some interpretation as to whether or not it meets

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1 CCIR criteria, and then that's when we have to get our
2 staff involved to make the judgment.

3 (b)(6): Speaking of your staff, as the
4 Chief of Operations, can you describe how large it is
5 and how integrated it is with other sections? You
6 have representatives from divisions and other staff
7 sections on your staff.

8 (b)(3), (b)(6): Right. I guess we've got
9 to look at it in two ways. We've got the JOC-4, which
10 is the Joint Operations Center-4, which as you well
11 know is a fairly significant, theater size room with
12 representation from almost every staff element, LNOs,
13 outside of the organization -- and I don't know the
14 exact number of folks that are in there, but it's
15 probably 100 to 125, if not more.

16 So they don't all work for me, but they
17 all in an indirect way keep me informed of key things
18 in their area, so that I have good SA, situational
19 awareness, on what is going on, particularly the real
20 time events.

21 Then as issues come up, if I need specific
22 information to ask questions, those representatives

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1 are there from all the staff sections so that we can
2 reach out and touch somebody very quickly to get the
3 information we need.

4 So from the JOC perspective, certainly, we
5 have a very huge staff representation. From the Chief
6 of Operations within the G-3 realm itself, we are
7 subset of that staff in key areas that include our two
8 watch OICs, our battle Major, our battle Captains, our
9 story board maker, and then we've got our ORS
10 (Phonetic) Section.

11 So there's probably about 15 to 20 folks
12 that work as a part of the Chief of Operations Section
13 that really are the core group of the Joint Operations
14 Center.

15 (b)(6): I would like to transition a
16 little bit now to the Future Operations side. But
17 from your position as the Chief of Operations, can you
18 talk -- Since you have seen both sides now and
19 operated from both sides, can you talk about the
20 interface and, in particular -- of those two sections,
21 and in particular, the generation of the fragmentary
22 orders, your orders factory from FUOPS?

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1 (b)(3), (b)(6) Yes. First, I'd like to
2 say, number one, I think this is probably one of the
3 most congruent organizations I've been a part of, both
4 -- across all three planning horizons, Plans, FUOPS,
5 and Current Ops.

6 I would say, with relationship that (b)(3), (b)(6)
7 (b)(3), (b)(6) and I had when he was the CHOPS and I was
8 FUOPS, you couldn't divide the two organizations, a
9 very seamless integration.

10 In fact, we assisted very closely on the
11 very quick hit missions, for example, VIP visits. We
12 would get very little notice, sometimes 12 hours, and
13 when we were lucky maybe 72 hours. So those missions
14 were turned very quickly, and often the CHOPS would
15 find out about them before I would.

16 So he was very quick to notify us.
17 Likewise, if we did get wind of one through Force or
18 the PJCC -- I don't remember what that acronym stands
19 for, but they are the VIP managers at Force -- they
20 would let us know. We would make sure we quickly
21 informed the CHOPS and the C-3 so that they were aware
22 of the visits and, of course, the battle space owner

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1 as well.

2 Those missions turned very quickly,
3 sometimes as short as 12 hours, but normally somewhere
4 between about 12 and 72 hours.

5 (b)(6): And you publish a fragmentary
6 order on those visits as well?

7 (b)(3), (b)(6): Right. Typically, we would
8 publish a separate frag order for those. There were
9 also times -- We really have two methods of publishing
10 orders. One is called the daily tasking, the DTU,
11 daily tasking unit -- I'll have to verify that.

12 In those, they are usually simple tasks.
13 Any staff element can publish items in the DTU. So
14 for example, C-9 would often publish information about
15 CERP funding. Our transition cell would often publish
16 routine taskings about redeployments or deployments
17 that gave units standardized instructions on what to
18 expect and lay out the time requirements.

19 Then FUOPS -- Sometimes we publish some of
20 our VIP visits through the DTU, but quite often they
21 were stand-alone orders because of the time frame that
22 we had to get them published. So we use both entities

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1 to do that.

2 Our orders were generally not major muscle
3 movements like you would see from Plans. They were
4 usually smaller in nature, maybe a smaller operation,
5 for example, an operation in Diwaniyah where we
6 provided some engineer support, some MP support.

7 It wasn't something that took a major
8 planning staff to develop, but it was something that,
9 within Future Operations Cell, we had the expertise
10 resident or could tap into that.

11 Then we could spend some time in an OPT,
12 operational planning team, to develop the details.
13 Sometimes it would involve a reconnaissance to the
14 area to get additional information, and then we would
15 go ahead and publish the frago on that.

16 So smaller scale than, say, Plans campaign
17 order for a major operation like Phantom Phoenix or
18 Phantom Thunder, but those are the type of things that
19 we dealt with in the Future Operations arena.

20 (b)(6): Right. And I know that there
21 is a series of what I will call black operations,
22 Black Avalanche, Black Eagle.

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1 [] (b)(3), (b)(6) : Right.

2 [] (b)(6) : Speaking of Diwaniyah, and
3 Black Anvil. There's a whole list of them on your
4 website, and also the Silver series also.

5 I think the Silver series had to do with
6 infrastructure. Is that right?

7 [] (b)(3), (b)(6) : No. The reason we got --
8 We selected the colors, because, one, anything with a
9 color on it, you knew it came from FUOPS.

10 [] (b)(6) : Right.

11 [] (b)(3), (b)(6) : So if it was Black Anvil,
12 it was associated with the 07-01 Op Order published by
13 Plans, which was really the 07-01 campaign plan. As we
14 transitioned to 08-01, we changed it to silver.

15 [] (b)(6) : Okay.

16 [] (b)(3), (b)(6) : So you have a link to the
17 overarching op order for which these fragmentary
18 orders supported. So there was a direct link.

19 [] (b)(6) : That's good. Okay.

20 [] (b)(3), (b)(6) : So part of that is pride of
21 ownership. FUOPS wanted their name associated with
22 colors and, quite frankly, the units knew when they

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1 were getting a colored order, it was coming from
2 FUOPS, and it usually meant it was a good product. So
3 we took a lot of pride in that.

4 In the naming of the orders, we were also
5 pretty careful about picking names that -- how would
6 you say it? Respectful is a way to say it, but we
7 wanted to pick names that had meaning, that were
8 somewhat tied to the operation itself, but described
9 a bit of authenticity and, in essence, the bottom line
10 of this naming convention is what happens is we do
11 lose soldiers, and if you lose a soldier, it certainly
12 sounds much more authoritative and important if
13 somebody was lost in a Black Eagle or a Black
14 Avalanche as to some other football name -- you know,
15 the Hoosiers Operation or something of that effect.

16 So there was a little bit of seriousness
17 behind the order naming convention as well. So we
18 just took pride of ownership, also keeping in mind
19 that this operation would come back to some in a very
20 unfortunate way, but we wanted a good solid name that
21 somebody could stand back and say, yeah, I did lose my
22 son in that war, but he was lost on Operation Black

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1 Eagle, which was a significant operation in Diwaniyah,
2 and it adds a bit of respect to the process.

3 (b)(6): I'd like to ask about actually
4 Operation Black Eagle, and that is the reinforcement
5 to Diwaniyah, Multinational Division-Center South
6 where you sent some enablers down and, I think, one
7 infantry battalion.

8 Can you talk a little bit about that, and
9 also, if you can recall -- I mean, I have the frago
10 for that -- the approximate start date and end date.
11 What I can't find or seem to find is a frago that
12 brought that infantry battalion, for example, back.

13 So could you talk me through that?

14 (b)(3), (b)(6): Yes. I think -- I don't
15 remember the specific time frame that it occurred.
16 I'm thinking it was probably sometime late spring-
17 summer.

18 (b)(6): Right.

19 (b)(3), (b)(6): And it was for about a
20 three or four-week period, and it was --

21 Ultimately, the decision was made to have
22 a BCT commander, '06, co-locate with them in Center-

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1 South. So he sent his tactical operations center down
2 there to manage these battalion level operations,
3 which also -- They integrated the ODAs from CJSOTF as
4 well, as well as the local ISF, which was the Iraqi
5 Army and Iraq Police.

6 So that operation essentially went through
7 and cleared Diwaniyah. They went through the streets
8 of Diwaniyah, and it was very effective, short term,
9 violence reduced, order restored, but probably one of
10 the weaknesses of that is we did not have the -- and
11 I'm going to say we did have IA, which helped to keep
12 law and order for sometime, but we didn't have the IP
13 presence that we needed that can maintain that
14 consistent built-up area security in Diwaniyah. We
15 just didn't have the IPs developed.

16 So we eventually came back and, of course,
17 followed up with Lion's Pounce here recently, and we
18 have certainly put a lot more effort into the IP
19 development, both right at the conclusion of Black
20 Eagle as well as building up through Operation Lion's
21 Pounce, and we have that enduring security in the city
22 through IPs, because the IA itself has got a much

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1 broader area of responsibility and cannot get
2 completely tied down into the city of Diwaniyah,
3 although there is a presence there.

4 (b)(6) Yes. You know, I remember
5 Black Eagle, and I presume that you named it Black
6 Eagle for the -- MND-Center South has a (b)(6) 1.4b
7 division. So the (b)(6) 1.4b Eagle -- I guess that's why
8 you named it black Eagle.

9 (b)(3), (b)(6) Right.

10 (b)(6): And it was ongoing in June
11 when I was here. Then when I came back in September-
12 October, I remember the representative at the battle
13 update assessments from Center South in September and
14 October was -- especially in September, I seem to
15 recall, was always briefing that the situation was
16 tenuous down there.

17 Then when I come back in January, it seems
18 to be al calm down there. So what I'm trying to find
19 out, and it's difficult to do through just an
20 examination of the fragos, although they do help, is
21 what happened in Center South between, let's say,
22 September and today?

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1 [REDACTED] (b)(3), (b)(6) : Well, the key thing is the
2 last Commander that Center South has -- and he just
3 departed, and the name escapes me at the moment.

4 [REDACTED] (b)(6) : Bouk (Phonetic), Major General
5 Bouk.

6 [REDACTED] (b)(3), (b)(6) : Yes, it is. Very effective
7 commander. So I'll tell you, in my opinion, what made
8 the difference is leadership. He was not afraid to
9 "go outside of the wire" and get into the city and
10 have a presence. That's what it takes, and he was
11 able to do that.

12 [REDACTED] (b)(6) : And that-- And can you talk a
13 little bit about Lion's Pounce, too?

14 [REDACTED] (b)(3), (b)(6) : Lion's Pounce, I guess --
15 We'll set it in context, and again Diwaniyah is an
16 important area. Again, it is just outside of the
17 Belts, which is a focus area. Of course, Baghdad is
18 a focus area, and now North is the major focus area
19 with AQI, although there is Shia extremist influence
20 in Diwaniyah which is the concern.

21 [REDACTED] (b)(6) : Mostly Shia.

22 [REDACTED] (b)(3), (b)(6) : Right.

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1 (b)(6): And JAM, Special JAM.

2 (b)(3), (b)(6): JAM, disparate moderates,
3 kind of -- It's the crossing point and, certainly,
4 there is strong influence from Najaf and Karbala in
5 that area. So it's kind of a political hot point for
6 Shia extremist groups.

7 So they always attract, as a place that
8 they can base out of. So the challenge there is, if
9 we clear it, can we maintain that presence to keep it
10 clear, and that was the challenge that we had. With
11 Lion's Pounce, again, we had to go back in.

12 As we were successful in driving them out
13 during Black Eagle, without that enduring presence
14 through a solid IP and IA presence in Diwaniyah, we
15 weren't able to retain that. So we had to go back in
16 with Lion's Pounce which, very similar again, was to
17 go in and clear the city again, and this time we were
18 establishing combat outposts, and they would set up a
19 couple of JSSes.

20 So that helps build that enduring
21 security. So that presence -- you know, the emphasis
22 provided by General Bouk, I think, made the

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1 difference, and the willingness to get out and stand
2 up those JSSes and COPs were critical to the success.
3 But, I mean, it's too early to tell.

4 We can occupy those. We are already
5 getting indications that there is a desire for the
6 extremists to return back. So we are going to have to
7 see what happens.

8 (b)(6): Okay, thank you. I just
9 wanted to clarify that particular operation and that
10 particular Multinational Division. But I would like
11 to go back now to Future Operations.

12 In your last interview, you did describe
13 the organization of your frago factory and some of the
14 special programs, the biometrics, sniper defeat, and
15 the effects infrastructure cell.

16 In the last interview, you did talk about
17 the effects and how they are integrated in actually
18 across the board in C-3, but what I would like you to
19 do is, if you could, please go into a little bit more
20 detail about the Infrastructure Cell.

21 (b)(3), (b)(6): Okay. I think the last
22 time we talked, the Infrastructure Cell was stood up,

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1 kind of working. They are part of FUOPS. At that
2 time, they probably were doing some -- taking down
3 some of the more traditional FUOPS missions, but they
4 had an infrastructure focus.

5 Well, as it developed, [REDACTED] (b)(3), (b)(6)
6 really took on that mission and essentially I
7 resourced him and almost fenced him for focusing
8 strictly on infrastructure.

9 So he had [REDACTED] (b)(3), (b)(6)
10 [REDACTED] (b)(3), (b)(6)

11 Then they had a [REDACTED] (b)(3), (b)(6) from the IO cell,
12 and we also had a [REDACTED] 1.4b
13 officers who rotated. Well, one rotated in, and
14 another one right behind him.

15 So he was able to focus his efforts on
16 that area. Certainly, infrastructure took on a very
17 important role here. In fact, I would argue that our
18 focus on infrastructure really led the way for MNFI to
19 build their energy fusion cell, because of a lot of
20 the work that we did, which started out with an
21 operation that was called Spigot, and it was working
22 on the oil distribution and production, transition to

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1 Operation Fair Play, which became a very successful
2 operation moving fuel out of Baji to Diyala, to Anbar,
3 north up to Ninevah.

4 That is really what this whole
5 Infrastructure Cell grew from. Then as we determined
6 the link between electricity and oil, we continued to
7 grow and expand into electrical systems, both power
8 generation and distribution systems where we would
9 ensure that we had the lines throughout the area
10 fixed.

11 Of course, that provided the basic
12 services across the country. Of course, there are
13 some priorities they had there focused on key and
14 essential services. Then they had government
15 services, and then distributions to households and
16 that type of focus.

17 So this certainly got quite a bit of
18 attention, and if you've watched the morning BUA with
19 General Petraeus, there is a priority slide on oil,
20 infrastructure, as well as a picture slide that lays
21 out where problems lie or attacks or faults in the
22 system. Same thing with the electrical

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1 infrastructure.

2 So what we were able to do with that is
3 really react quickly and integrate not only the Iraqi
4 Security Forces but, as required, providing assistance
5 from Coalition forces to help those infrastructure
6 repairs.

7 (b)(6) : (b)(3), (b)(6) briefed at the
8 C-3/G-3 conference yesterday, didn't he, on
9 infrastructure?

10 (b)(3), (b)(6) : Yes, he did.

11 (b)(6) Okay. I wondered if you could
12 help walk me through a typical frago production.

13 (b)(3), (b)(6) : Yes. Typically, what we
14 do--

15 (b)(6) : Let's say, apart from a
16 particular operation. Let's say a routine that has
17 like 12 or 15 different tasks, kind of almost routine,
18 it looks like. How do you bring all those disparate,
19 sometimes, tasks together?

20 (b)(3), (b)(6) : I think the best -- Let me
21 see what would be a good example. We could look at
22 perhaps --

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1 (b)(6) : Redeployment instructions --
2 I see that all the time on them.

3 (b)(3), (b)(6) : Those aren't -- Those are
4 fairly routine. I mean, the tasks don't change. The
5 coordinating instructions don't change. The only
6 thing that changes is the unit and the date. So there
7 is not much effort that goes into those, once you've
8 got the system in place. I'm trying to pick one
9 that's got a little bit more meat to it.

10 Well, let's take something current right
11 now like one that we just dealt with on CERP
12 distribution, for example.

13 This one would be generated probably
14 between C-9 and C-9, funding related, but it also
15 projects related, and there is probably some C-8 work
16 that would go into that, determining the priorities
17 for reconstruction and how this CERP money may support
18 reconstruction.

19 So typically, what you would have is you
20 would have C-9, C-8, C-7 engineers in a OPT. You
21 would probably have some PAO.

22 (b)(6) : OPT is?

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1 (b)(3), (b)(6): Operation Planning Team.

2 (b)(6): Okay, that you bring together,
3 you in FUOPS?

4 (b)(3), (b)(6): I may not. Well, in this
5 case FUOPS may not be involved. This is one where the
6 C-9 may take the lead, because he has overall
7 authority for CERP funding and that piece of it. So
8 he may take the lead on that.

9 Now if there were security concerns
10 associated with executing this particular project,
11 then you may have a FUOPS rep in there who will help
12 tie the security requirements into that. But that OPT
13 would form with those representatives and, let's say,
14 IO so that if there is a good news story that would
15 come out of this, or PAO.

16 If we are rebuilding a mosque, we want to
17 advertise that, get that out, not only to the American
18 population -- it shows what we are doing -- but we,
19 more importantly, want to get it out to the Iraqi
20 population so they know what their government is doing
21 for them, and they a balanced picture of both Shia
22 reconstruction and Sunni reconstruction, so that can

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1 see that there is no sectarians.

2 So as this plan comes together, we want
3 all these people to have their play in it, so that not
4 only do we end up building or improving a shrine, but
5 that mission results in a story that is told about
6 what's happened, so that the country sees progress,
7 sees the government moving forward, sees the
8 willingness of Coalition forces to support both Shia
9 and Sunni sects with money, with support, trying to
10 take a balanced approach.

11 So these are all things that are
12 considered when you put a frago together, and it is
13 through that OPT process that you get the right
14 players in the room with the right expertise who can
15 craft the tasks that need to be accomplished under
16 each subordinate unit, whether it is an MND or it may
17 be a staff section -- for example, the PAO or IO
18 officer who needs to produce some products to support
19 that effort.

20 Then once they've got all of those
21 captured, then we put that order out for staffing, and
22 it usually staffs anywhere from 24 to 72 hours, and it

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1 goes back to the key sections so that everybody has a
2 chance to read the whole plan and comment on it,
3 either no, we disagree with this, or you missed this,
4 we need to add this. Then this is really the voting
5 process on the order.

6 At that point, after it is staffed, then
7 the comments will come back through the Chief of
8 Operations who then reviews the order and the
9 comments. If there is any strong disagreement with
10 the order, they will nonconcur, and that prevents the
11 order from being published, usually, until we get that
12 issue resolved.

13 For those nonconcurs that we can't get
14 resolved, then I will make recommendations to the C-3
15 as to whether or not we override the nonconcur and
16 simply publish anyway or we simply not publish, but in
17 most cases we will try to get it resolved and then, if
18 not resolved, then we simply publish over the
19 nonconcur, usually for good reason.

20 (b)(6): You know, I looked at the
21 integration of the 3d Infantry Division, Multinational
22 Division -- that became Multinational Division-Center.

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1 There was a great deal of staffing effort that went
2 into that, it looks like from the records.

3 I did see some nonconcurrency in that.
4 That must have been a very difficult one to resolve.
5 I believe you had a conference on that, but I can't
6 remember or I can't tell from the documents.

7 Do you remember that?

8 (b)(3), (b)(6): I don't remember that
9 specific order. I do remember that we did have a
10 number of OPTs that supported that one. There were
11 some issues there, but they were eventually resolved
12 through the OPT process and ultimately through,
13 actually, the G-3s and C-3s finally coming to a
14 decision on how to approach that.

15 (b)(6): Okay. Now this is more of a
16 reflective question. You are at the end of your tour,
17 and you have nearly 15 months behind you as the Chief
18 of Future Operations and as the Chief of Operations.

19 Looking back for the FUOPS Section, what
20 would you say are some of the key turning points that
21 you've seen happen with the Corps, looking at it again
22 from your perspective as the Chief of Future

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1 Operations?

2 (b)(3), (b)(6): I think first I've got to
3 start with what the underlying focus of our whole
4 effort here in this 15 months has been, and it is
5 something that I've thought about for quite sometime.

6 I think, in my opinion, the key factor in
7 the Corps' ability to produce results and be
8 successful in the security realm, first off, lies with
9 a Corps Commander who just seemed to have an innate
10 feel for what needed to be done, and he zeroed in on
11 that, focusing in on that particular weapons system,
12 which was the IED in all its various forms, and he
13 went after it, all aspects of it, its networks, its
14 locations, its methods.

15 I think that alone was probably what I saw
16 as being able to pick the right area to focus on and
17 then exploit it. I think that was very difficult for
18 the enemy to deal with, whether it was AQI or whether
19 it was Shia. Didn't matter, because we focused in on
20 the problem as it was influenced by either AQI
21 approach or, you know, extremist group approach, and
22 we were able to work that.

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1 (b)(6) : So the formation of the COIC
2 was --

3 (b)(3), (b)(6) : I think the COIC played a
4 very significant role in keeping the Commander and
5 command group informed of what was happening on the
6 battlefield. I think their analysis was exceptional,
7 and it really enabled the Commander to see the
8 battlefield and to see what happened, because where
9 there were IEDs, there was AQI. Where there were
10 EFPs, there were Shia extremists.

11 So once you exploited that, that led you
12 to where the threat was, and the COIC was very much an
13 enabling aspect of that. So I think that was well
14 spent. It was a fairly expensive endeavor, but it was
15 a key endeavor.

16 (b)(6) : Right. Do you know if the
17 follow-on Corps is going to keep the COIC?

18 (b)(3), (b)(6) : They are.

19 (b)(6) : They are?

20 (b)(3), (b)(6) : Yes. I think they had
21 started -- I think they were slow to realize it, but
22 I think they realized its value, although at this

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1 stage of the game the COIC is probably less important
2 than it was when we took over.

3 (b)(6): Right.

4 (b)(3), (b)(6): So it still has a role.

5 It's something that needs to be watched, but it may
6 not be the focal point. They will need to find their
7 new focal point.

8 (b)(6): Right.

9 (b)(3), (b)(6): So a key turning point.

10 (b)(6): So that's one key turning
11 point, the focus on the IEDs, the Commander's feel for
12 that focus and the structural processes that he put
13 into place on the Corps staff.

14 What else do you think was important?

15 (b)(3), (b)(6): I think -- I don't know
16 that it was a turning point, but -- I think the focus
17 on the infrastructure wasn't a turning point, but it
18 was a strong enabler. I think it was a rallying point
19 for a number of pieces, and sometimes I'm not sure

20 (b)(3), (b)(6) gets enough credit for this, but
21 infrastructure by itself, I think we would all agree,
22 is important that we all want the basic services

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1 provided to us. But what enabled us to get that
2 moving -- or not what enabled us -- What required us
3 to get that moving, it required the Iraqi Army to play
4 a role.

5 It required the Ministry of Oil, Ministry
6 of Electricity and their facility protection services
7 all to take responsibility and to work together. So
8 what infrastructure did, not only were we trying to
9 fix and provide basic services, it became a driving
10 factor to force the government to work together.

11 That is something that needed to happen.
12 So I think that helped us develop the government
13 through solving the infrastructure problem, but it
14 brought IPs together.

15 It brought Iraqi Army together. It
16 enabled Coalition forces to assist, but we really
17 didn't want to take responsibility. We tried to shy
18 away from that, but it became very much of a strong
19 driving factor.

20 So I think there is a by-product that a
21 lot of folks haven't recognized that this
22 infrastructure problem helped us solve, but I think it

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1 helped us develop Iraq.

2 (b)(6) : Right. Okay. It certainly
3 helped the Corps focus, and also can you talk a little
4 bit about, in the infrastructure area in particular,
5 the relationship with Multinational Force in this
6 effort?

7 (b)(3), (b)(6) : I think -- Again, I think
8 the Corps was very proactive on this, and our focus on
9 infrastructure, as I mentioned earlier, I think, was
10 something that enabled the Multinational Force-Iraq to
11 develop their energy fusion cell.

12 They could see we had an infrastructure
13 cell, but they wanted to do it on a much grander
14 scale, which was needed, because they had to reach out
15 to the different ministries and really integrate the
16 political aspect now with the security aspect, so that
17 they could both expand their oil and electric
18 capacity, their exports, as well as ensure that the
19 systems in place were functioning properly, were
20 mechanically sound.

21 We were minimizing or helping reduce the
22 attacks so that they could sustain their electrical

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1 infrastructure and oil infrastructure.

2 (b)(6): You know, I've read through
3 your historical report or your AAR, and got one or two
4 questions on that. But I noted that you haven't
5 signed it yet. Have you approved that, the one that
6 I have?

7 (b)(3), (b)(6): Yes, it's fine.

8 (b)(6): It's okay? Okay.

9 (b)(3), (b)(6): It's final now. I'm not
10 sure which version you have, but I think the one that
11 I turned in to (b)(3), (b)(6) --

12 (b)(6): Yes, that's the one I've got.

13 (b)(3), (b)(6): -- is signed. That's the
14 one I'm operating off of.

15 (b)(6): Okay. In that, you said the
16 security line of operation, and the Corps established
17 three lines of operation, was the FUOPS focus for C-3.

18 (b)(3), (b)(6): Right.

19 (b)(6): But the infrastructure part of
20 it certainly played a role in kind of the other, the
21 governance, economics. So it seems like that you --
22 You certainly overlap into those other lines of

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1 operation.

2 (b)(3), (b)(6): Yes. Technically, the
3 infrastructure probably could have fallen into C-7
4 where it's infrastructure development and governance,
5 economics. However, given -- FUOPS kind of reached
6 out and took it -- I'll just say that -- outright. We
7 took on that task, but part of the reason we did that
8 is because there was such a heavy security requirement
9 to facilitate repairs.

10 So to strictly leave that in the C-7
11 arena, it didn't work very well. Remember, this
12 started with this Operation Fair Play.

13 (b)(6): Right.

14 (b)(3), (b)(6): Which centered around the
15 Baji oil refinery, and at that time was corrupt, was
16 influenced by extremists, AQI, and so for us to get
17 control of the Baji refinery -- and not us get
18 control, but give Iraqis control -- we had to tackle
19 the threat that was associated with that.

20 So as we looked at oil and the influence
21 that was generated from that, because keep in mind,
22 Baji -- our concern there is that the activities at

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1 Baji at the refinery itself and the distribution of
2 oil itself was funding AQI activities.

3 So we wanted to understand how that was
4 happening, and then prevent that funding from being
5 used against our own soldiers through AQI activities.
6 So that was the driving factor that took us into Baji
7 in the first place.

8 Then from there, as we started looking at
9 how to do that, it was very difficult to tackle some
10 of these threats, but what we did settle on is, if we
11 could ensure that the fuel was safely transported from
12 the point of origin to the distribution points within
13 the province, we would cut out any of the middle man
14 and any of the black market activities that surrounded
15 that, thereby reducing the AQI funding.

16 (b)(6): And make sure those shipments
17 didn't get intercepted or converted.

18 (b)(3), (b)(6): That's exactly right.

19 (b)(6): And I heard a story in the
20 BUA, I think, just yesterday -- may have been two days
21 ago -- about the Marines out in Anbar found that the
22 Iraqis had stopped a shipment -- I think it was oil --

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1 from [1.4b] and the Iraqi Police had apparently
2 stopped them and were going to send them to Basra, and
3 the MNF-West caught wind of that. They sent some
4 people out, finally got them straight and sent on
5 their way.

6 So that is kind of, I guess, an example of
7 the kind of perhaps corruption or inefficiencies of
8 the Iraqis that Operation Fair Play stepped in to make
9 sure that sort of thing didn't happen. Is that right?

10 [(b)(3), (b)(6)] : That was our goal. Now we
11 aren't ever going to get rid of all the corruption and
12 all of the black market activities that are
13 associated. That is part of their way, in many
14 respects. We are trying to take out the big pieces of
15 that.

16 So I think we have been fairly successful
17 in doing that. We haven't eliminated it, but I think
18 what we have done is, by securing shipments to al
19 Anbar, we have ensured that there is a significant
20 amount of government priced fuel that is available in
21 the Anbar province, which is much less than the black
22 market price.

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1 So the more that we were able to do that,
2 I mean, the better off we were to serve the Iraqi
3 people and eliminate a fair amount of that corruption.
4 But I don't think we have eliminated all of it.

5 (b)(6): Right.

6 (b)(3), (b)(6): We just don't have the full
7 control. Even in the process of Fair Play, I suspect
8 some of these trucks, once they get to the
9 distribution center, either they don't empty all their
10 fuel or there may be other little tricks of the trade
11 that sneak off here or there, but by and large, we
12 have certainly taken a chunk out of that.

13 (b)(6): I think I have one last --
14 well, actually two questions.

15 (b)(3), (b)(6): Okay. I have plenty of
16 time.

17 (b)(6): Looking back over the 15
18 months again -- and this is more of a structural or a
19 process question, and you have alluded to the answer
20 already, but I want to focus on this.

21 From your vantage point as the FUOPS
22 Chief, what do you think the key contributions of

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1 FUOPS to the Corps Headquarters in bringing the Corps
2 to this fight for the last 15 months?

3 (b)(3), (b)(6): Well, I think first off is
4 we had a fairly robust FUOPS size, that we could take
5 on some of the more significant planning efforts that
6 might typically be passed on to the Plans sections and
7 turn them fairly quickly.

8 (b)(6): Translate them into actual
9 orders, I guess?

10 (b)(3), (b)(6): Right. Fairly quickly.

11 I think we did a good job, by and large,
12 of integrating all the requisite staff expertise
13 through that process, which FUOPS -- Usually, they are
14 manned so thin, they don't have the capability to do
15 that, but I think our manning and our ability to reach
16 out and touch all the different staff sections to pull
17 into the planning process, we were able to do that.

18 I think we were fortunate -- You know,
19 certainly, I'm from Fort Lewis. My deputy was from
20 Fort Lewis, and probably one of my key orders writers
21 was from Fort Lewis, all very capable people.

22 I mean, (b)(3), (b)(6) is one of the best

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1 order writers. He can turn a product on a dime very
2 quickly and have everybody together, synchronized,
3 pull all the right people in and make sure they are
4 all informed, and was able to do this very well.

5 (b)(6) brought tremendous amount of
6 experience, simply because he has been on numerous
7 deployments as a Special Ops officer, and he had a
8 very good understanding of the environment, because he
9 had been up in Mosul before.

10 So he understood the nature of the
11 business here, how to work with tribal leaders,
12 sheikhs, what some of the challenges are in terms of
13 corruption, how the operational environment was laid
14 out, where the hot spots are. He had a good
15 understanding of how AQI worked up in the Mosul area.

16 So we had a good team of folks here that
17 could very quickly adapt and contribute to the
18 problems that we were facing, and were very aggressive
19 in going out and seeking work as opposed to trying to
20 avoid it.

21 I mean, the sign that hung over our door
22 is FUOPS is always open for business. And

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1 infrastructure -- does it belong in C-7? Maybe it
2 did, but FUOPS took it and, because of the security
3 aspects, developed it and grew it into a pretty good
4 cell.

5 Biometrics was in C-2 sections. FUOPS
6 reached out and grabbed it, and biometrics is probably
7 one of the best news stories that is going to come out
8 of this group. It was developed into a viable weapons
9 system, so to speak.

10 Sniper defeat was sitting on the shelf,
11 needed to be worked. FUOPS reached out and grabbed it
12 and developed, and ended up generating requests for
13 about \$2 billion worth of sniper defeat equipment and
14 vehicles.

15 So there are a lot of things that we just
16 didn't wait for somebody to give it to us. We went
17 out and found it and got it and developed it.

18 (b)(6): How long did it take, do you
19 think, once you got here -- and I know you came from
20 Fort Lewis; you brought 19 people with you. When you
21 hit the ground here, I know you all were running hard.

22 Looking back over the record of December

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1 and January with the surge taking place, things must
2 have been going 1,000 miles an hour. But how quickly
3 do you think the FUOPS was able to, for instance,
4 touch the right guy in C-7 or C-9 to know, so you
5 really began to reach optimum speed?

6 [REDACTED] (b)(3), (b)(6) : I think it didn't take us
7 very long at all, number one, because again, as I
8 mentioned, the folks that we brought here with us --
9 Penfield, very capable of running OPTs; [REDACTED] (b)(3), (b)(6),
10 very capable of running OPTs -- knew the expertise
11 that was required.

12 I think that is something that he brought.
13 Had I not come with somebody with the background,
14 experience of [REDACTED] (b)(3), (b)(6) -- I'll tell you, he is
15 probably one of the finest officers I have served
16 with. Without that experience that he had here in
17 Iraq -- He had a good understanding of who really
18 needed to be involved, not within the Army but outside
19 of the Army, when you needed to pull an Air Force in.

20 So I think his expertise was very key to
21 FUOPS standing up quickly and helping us get our OPT
22 process started.

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1 Once we got that going -- I mean, we
2 developed it as we were preparing to deploy. We did
3 one exercise with 3 Corps, but once we got here and we
4 were able to reach out and produce a few very well
5 coordinated products, I think we were able to show 3
6 Corps that, hey, these guys from Fort Lewis can play
7 ball.

8 Once they figured out we could play ball,
9 they were very receptive and were willing to let us
10 run. I mean, it didn't take that. Up front, they
11 were very open and very receptive of us from the
12 beginning, but there is always some sort of, you know,
13 prove yourself process. I didn't feel like I was
14 under that or that we were under that, but I think
15 simply just stepping up to the plate, saying, hey,
16 we're ready to play, they could see that. And we felt
17 very much like a team.

18 Leaving here, you know, my farewell
19 ceremony with Odierno is, hey, we -- He said to me,
20 hey, we consider you one of us; we consider you one of
21 3 Corps. I really felt that way from the beginning.
22 But again, it's that whole thing I talked about up

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1 front, was I think we were received very well. We
2 were needed, and I think we are able to respond well
3 to a need.

4 So I think that whole aspect was very
5 positive. This is one of the most congruent Corps
6 staffs I have ever been around, just fairly unique, in
7 my opinion.

8 (b)(6): From an outsider like myself
9 coming in here, I can see that congruency in the
10 Corps. The Corps seem to -- The Corps staff seem to
11 work very, very smoothly and focused, and everybody
12 going forward in the right direction.

13 I didn't see a lot of -- In fact, I can't
14 even recall any backbiting that you would normally see
15 on a high staff.

16 (b)(3), (b)(6): Right.

17 (b)(6): Or frustration. I really
18 didn't even see any frustration, although there must
19 have been, I'm sure.

20 One last question, (b)(3), (b)(6) If you
21 would, if I haven't asked any question, this is your
22 opportunity to make a kind of a final statement, and

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1 we did this at the end of the last interview with you.
2 If you have anything more that maybe, you know, you
3 want to get out on tape that I didn't ask the right
4 question.

5 (b)(3), (b)(6) : I think you've asked a lot
6 of great questions, and I think you have kind of
7 sensed some of the things that may have been a little
8 bit different than normal deployments.

9 I think I hit the key point up front when
10 I talked about -- I've been trying to put my finger on
11 what was the decisive thing that I think helped guide
12 and lead us to success, and I think that is the
13 Commander that just had the feel for the battlefield.

14 I think overall what is happening, though,
15 I think as an overall picture is General Petraeus,
16 when he came on board. I think he has been able to
17 complement the success of General Odierno and his
18 focus on improving security in Iraq, and has really
19 been able to leverage some of the ministries and work
20 the political side fairly effectively.

21 There's probably some things I really
22 don't have a good grasp on, but certainly how he is

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1 working Moqtada al Sadr and keeping the JAM freeze in
2 effect and trying to keep that whole Shia aspect calm
3 while we focus on AQI, and yet still try to bring in
4 Sunnis. He seems to be balancing all those things
5 fairly well.

6 So I think during our watch, I think we
7 have been very fortunate to have the right group of
8 people together with the right skills at the right
9 levels to focus on the right aspects of the problem.

10 You just don't have that very often, I
11 think. This is a fairly unique situation, in my
12 opinion.

13 (b)(6): No, I think you absolutely hit
14 it right on the button there.

15 Well, we have been going for about an
16 hour, (b)(3), (b)(6). Thank you very much, and best of
17 luck to you on your trip back to Fort Lewis. I guess
18 that's tomorrow, right?

19 (b)(3), (b)(6) Either tonight or tomorrow.
20 But have you got anything else? I mean, I'm sure I'll
21 be pondering here for the next couple of months.

22 (b)(6): No.

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